



PATENT

Patentee: Lawrence R. Guinta and Lori A. Frantzye

Attorney's

Serial or Patent No.: 09/816,678

Docket No.: 5078-02500/EBM

Filed or Issued: March 22, 2001

For: **COMPUTER-AIDED METHODS AND APPARATUS FOR ASSESSING AN  
ORGANIZATIONAL PROCESS OR SYSTEM**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(F) AND 1.27(C)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Intellimct, Inc.  
ADDRESS OF CONCERN: 14500 N. Northsight Blvd., Suite 133  
Scottsdale, AZ. 85260

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled **COMPUTER-AIDED METHODS AND APPARATUS FOR ASSESSING AN ORGANIZATIONAL PROCESS OR SYSTEM** by inventor(s) described in

- ☐ the specification filed herewith  
☒ application serial no.: 09/816,678, filed March 22, 2001  
☐ patent no.: \_\_\_\_\_, issued \_\_\_\_\_

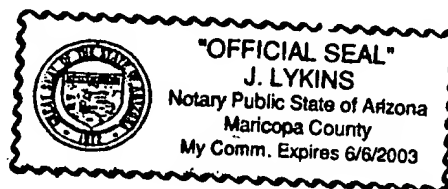
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(c).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: LORI M. FRAUTZVE / LARRY GUINTAADDRESS: 9743 E. SHARON DR., Scottsdale AZ☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: LORI M. FRAUTZVETITLE OF PERSON OTHER THAN OWNER: CEO-PresidentADDRESS OF PERSON SIGNING: 9743 E. SHARON DR.SIGNATURE: [Signature] DATE: \_\_\_\_\_NAME OF PERSON SIGNING: LAWRENCE GUINTATITLE OF PERSON OTHER THAN OWNER: CTOADDRESS OF PERSON SIGNING: 9743 E. SHARON DR.SIGNATURE: [Signature] DATE: 8/25/01ON THIS 10<sup>th</sup> DAY OF SEPTEMBER 2001[Signature]



**DECLARATION**

I, as a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors believe they are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **COMPUTER-AIDED METHODS AND APPARATUS FOR ASSESSING AN ORGANIZATIONAL PROCESS OR SYSTEM**, the specification of which:

\_\_\_\_\_ is attached hereto.

X was filed on March 22, 2001 as Application Serial No. 09/816,678.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			Priority <u>Claimed</u>
			Yes/No
<u>N/A</u>			
(Number)	(Country)	(Date Filed)	
<u>N/A</u>			
(Number)	(Country)	(Date Filed)	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to the patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>N/A</u>		
(Application Serial No.)	(Filing Date)	(Status)
<u>N/A</u>		
(Application Serial No.)	(Filing Date)	(Status)

I hereby claim the benefit under title 35, United States code §119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.) (Filing Date)

\_\_\_\_\_  
(Application Serial No.) (Filing Date)

Please direct all communications as follows:

Eric B. Meyertons  
CONLEY, ROSE & TAYON, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: Lawrence R. Guinta

Inventor's Signature: *Lawrence R. Guinta* Date: 8/23/01

Country of Residence: USA Citizenship: US

Post Office Address: 9743 E. SHARON DR., SCOTTSDALE, AZ 85260  
(Include number, street name, city, state and zip code)

Inventor's Full Name: Lori A. Frantzve

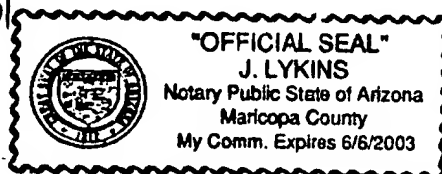
Inventor's Signature: *Lori A. Frantzve* Date: 8-14-01

Country of Residence: USA Citizenship: US

Post Office Address: 9743 E. SHARON DR., SCOTTSDALE, AZ 85260  
(Include number, street name, city, state and zip code)

ON THIS 10TH DAY OF SEPTEMBER 2001

*J. Lykins*





## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lawrence R. Guinta and  
Lori A. Frantzve

Serial No.: 09/816,678

Filed: March 22, 2001

For: **COMPUTER-AIDED METHODS  
AND APPARATUS FOR ASSESSING  
AN ORGANIZATIONAL PROCESS  
OR SYSTEM**

Examiner: Unknown

Group Art Unit: 3713

Atty. Docket No. 5078-02500/EBM

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the  
U.S. Postal Service as First Class Mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on the  
date below:

Date

Jo Ann Scott

POWER OF ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Applicant(s) hereby revokes any previous Powers of Attorney and appoints:

Mark Brightwell	Reg. No. 47,446
Kevin L. Daffer	Reg. No. 34,146
Mark R. DeLuca	Reg. No. 44,649
Mollie Hamel	Reg. No. 48,405
Jeffrey C. Hood	Reg. No. 35,198
Robert C. Jahnke	Reg. No. 44,800
B. Noël Kivlin	Reg. No. 33,929
Robert C. Kowert	Reg. No. 39,255
Lawrence J. Merkel	Reg. No. 41,191
Eric B. Meyertons	Reg. No. 34,876
Louise K. Miller	Reg. No. 36,609
Theresa A. Moorman	Reg. No. 46,941

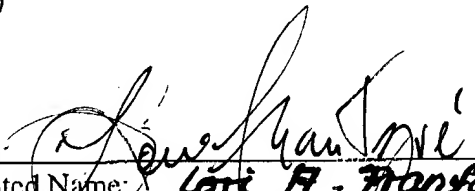
David W. Quimby  
David A. Rose  
Diana S. Schaffer  
Doug Shamah

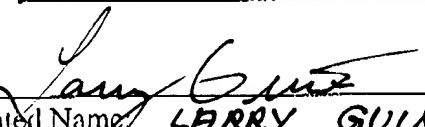
Reg. No. 39,338  
Reg. No. 26,223  
Reg. No. 47,291  
Reg. No. 45,093

each an attorney or agent of the firm of CONLEY, ROSE & TAYON, P.C., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Please direct all communications as follows:

Eric B. Meyertons, Esq.  
CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TEXAS 78767-0398  
(512) 476-1400 (voice)  
(512) 703-1250 (facsimile)

By:   
Printed Name: LORI A. HANCOCK  
Title: PRESIDENT + CEO  
Date: 9/11/01

By:   
Printed Name: LARRY GUINIFF  
Title: COO + CTO  
Date: 9/11/01